## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Wolfgang Jugovec et al Art Unit: 2643

Serial No.: 10/656,106 Examiner: to be assigned

Filing Date: 09/08/2003 Atty. Docket: 2001P04281WOUS

For: Method and communication system for generating response messages

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
U.S Patent and Trademark Office
2011 South Clark Place
Customer Window, Mail Stop Application Number
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Sir:

Listed on accompanying form PTO/SB/08A are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. §120 priority documents.

• This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of the first Office Action on the merits. No certification or fee is required.

The document(s) was/were cited in a corresponding foreign application, international search report, and/or within the US filed application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed form and to indicate in the official file of this patent application that the documents have been considered.

It is Applicants understanding that no fee is due for this request. However, in the event that the Patent and Trademark Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No.: 502464** referencing docket no.: 2001P04281WOUS. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

> cob Eisenberg Attorney for Applicant

Registration No. 43,410

Customer No.: 28204

Respectfully submitted,

Date: 04 - 15 - 2004 Siemens Schweiz Intellectual Property IP, I-44 Albisriederstrasse 245 CH-8047 Zürich, Switzerland

Tel: +41 (0) 585 583 295 Fax: +41 (0) 585 583 228

TRADEN

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

Complete if Known

10/656,106

09/08/2003

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Filing Date

**Application Number** 

Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

First Named Inventor Wolfgang Jugovec Art Unit 2643 (Use as many sheets as necessary) **Examiner Name** to be assigned Attorney Docket Number 2001P04281WOUS Sheet 1

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	T DOCUMENTS Name of Patentee or Pages, Columns, Lines, Where		
		Number-Kind Code <sup>2 (if known)</sup>		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		<sup>US-</sup> 4,907,274	03/06/1990	Toshiba K.K.		
		<sup>US-</sup> 5,950,167	09/07/1999	Lucent Technologies		
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-				
		US-	<del></del>			
		US-				
		US-				
		US-		:		
		US-				
		US-				

	···		IGN PATENT DOCU			_
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3 -</sup> Number <sup>4 -</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T'
		EP 0 889 626 A1	01.07.1999	OCTEL COMMUNICA		
		EP 1 065 652 A1	01.03.2001	Pioneer Corporation		
		WO 99/07118	02/11/1999	British Telecommunicat		L
						L
			1			

Examiner	Date	****
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known		
	10 10 10 10 10 10 10			Application Number	10/656,106	
INF	ORMATION	DIS	CLOSURE	Filing Date	09/08/2003	
STA	STATEMENT BY APPLICANT			First Named Inventor	Wolfgang Jugovec	
(Use as many sheets as necessary)				Art Unit	2643	
(USE as many sneets as necessary)			ecessary)	Examiner Name	to be assigned	
Sheet	2	of	2	Attorney Docket Number	2001P04281WOUS	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		IEEE Expert October 1995, "Navigating the Information Superhighway Using Spoken Language Interfaces", author: Victor W. ZUE, XP002919108	
		BT Technol J Vol 14 No 2 April 1996, "Interactive speech system for telecommunications applications"	
		8153 Computer, 23 (1990) August, No. 8, Los Alamitos, CA, US, "Anser An Application of Speech Techn	
=			

Examiner	Date
Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.